

LAW OFFICE OF HARRY J. MACEY

FACSIMILE TRANSMITTAL SHEET

TO: Examiner U. Ho, Art Unit 3731 FROM: Harry J. Macey
COMPANY: U.S. Patent and Trademark Office DATE: 05/28/03
FAX NUMBER: 703-308-2708 TOTAL NO. OF PAGES INCLUDING COVER: 35
PHONE NUMBER: 703-306-3421 SENDER'S REFERENCE NUMBER: CSI-2013
RE: Supplemental Information
Disclosure Statement
USPN 09/686,004 YOUR REFERENCE NUMBER:

☒ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

PLEASE DELIVER TO EXAMINER U. HO, ART UNIT 3731.

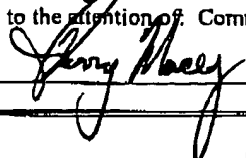
This facsimile contains confidential information, which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Harry Macey immediately by telephone and return it promptly by mail. Thank you.

1301 SHOREWAY ROAD, SUITE 121
BELMONT, CA 94002-4106
TEL 650.654.9555/FAX 650.654.9554

PATENT
Attorney Docket No.: CSI-2013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**CERTIFICATE OF TRANSMISSION BY "FACSIMILE"**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, addressed to Group Art Unit 3731, and to the attention of: Commissioner for Patents, Alexandria, VA 22313 on May 28, 2003.



Harry Macey

In re Application of:

Nguyen, et al.

Serial No.: 09/686,004

Filing Date: October 10, 2000

Title: MINIMALLY INVASIVE VALVE
REPAIR PROCEDURE AND APPARATUS

Examiner: U. Ho

Group Art Unit: 3731

FAX RECEIVED
MAY 28 2003
GROUP 3700

TRANSMITTAL

Commissioner for Patents
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

1. Supplemental Information Disclosure Statement (3 pgs)
2. Form PTO-1449 (1 pg) and a copy of all cited references

☐ Authorization to charge the _____ is provided on the _____.

☒ The Commissioner is hereby authorized to charge \$180 for the Supplemental Information Disclosure Statement to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2013. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. CSI-2013.

Respectfully submitted,

Date: May 28, 2003

By: 

Harry J. Macey

Reg. No. 32,818

Attorney for Applicant

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PATENT
Attorney Docket No.: CSI-2013#16/86
6-12-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97Commissioner for Patents
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☒ A fee is required. Authorization to charge the undersigned's deposit account \$180.00 is attached.

- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. CSI-2013. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Date: May 28, 2003

By: 

Harry J. Macey
Registration No. 32,818
Attorney for Applicant

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